



3713
JFW

Patent

Atty Docket No. 156925-0003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Craig A. YATES, et al.

Serial No.: 09/858,157

Filed: May 14, 2001

For: METHOD AND SYSTEM FOR WIRELESS VALIDATION OF GAMING VOUCHERS

Group Art Unit: 3713

Examiner: Enatsky, Aaron L.

**TRANSMITTAL IN RESPONSE TO
NOTICE OF NON-COMPLIANT AMENDMENT**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I. DOCUMENTS ENCLOSED:

In response to the **NOTICE OF NON-COMPLIANT AMENDMENT UNDER 37 CFR § 1.121**, which was mailed by the Patent Office on October 29, 2004, enclosed are:

- ☒ Corrected Preliminary Amendment In Connection With Request For Continued Examination Pursuant To 37 C.F.R. § 1.114.
- ☒ A copy of the Notice of Non-Compliant Amendment under 37 CFR § 1.121.
- ☐ Also enclosed: are submitted herewith.

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

November 29, 2004
Date of Deposit

Connie Kwon

Name of Person Mailing Paper

Corrie Kwon

Signature of Person Mailing Paper

II. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input type="checkbox"/> one month	\$55.00	\$110.00
<input type="checkbox"/> two months	\$215.00	\$430.00
<input type="checkbox"/> three months	\$490.00	\$980.00
<input type="checkbox"/> four months	\$765.00	\$1,530.00
<input type="checkbox"/> five months	\$1,040.00	\$2,080.00
Fee		\$0.00

☒ No extension fee is required. If any extension fee is required, please consider this a petition therefor.

III. FEES FOR CLAIMS

☐ Applicant claims small entity status under 37 CFR 1.27.

Total Claims	16	-	34	=	0	x	\$18.00	\$0.00	
Independent Claims	3	-	8	=	0	x	\$88.00	\$0.00	
Multiple Dependent Claims	\$300	(if applicable)						<input type="checkbox"/>	\$0.00
TOTAL OF ABOVE CALCULATIONS								\$0.00	
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.								<input checked="" type="checkbox"/>	\$0.00
Extension fee								\$0.00	
TOTAL FEES FOR CLAIMS SUBMITTED HERewith								\$0.00	

IV. METHOD OF PAYMENT OF FEES:

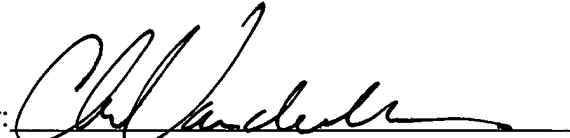
- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is enclosed to cover the above fee(s).
- ☐ Charge Deposit Account No. 09-0946 in the amount of _____.

- ☒ The Commissioner is authorized to charge Irell & Manella's Deposit Account No. **09-0946** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. **09-0946**.

Respectfully Submitted,

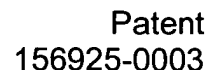
Dated: November 29, 2004

By:



Christopher A. Vanderlaan
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For: METHOD AND SYSTEM FOR
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Group Art Unit: 3713

Examiner: Enatsky, Aaron L.

Final Office Action mailed:

April 6, 2004

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In connection with the accompanying Request for Continued Examination pursuant to 37 C.F.R. § 114, Applicant submits the following amendment and response to issues raised in the Final Office Action dated April 6, 2004. Applicants previously submitted an Amendment After Final Action pursuant to 37 C.F.R. § 116, but the Amendment was not entered as the Examiner concluded that it raised new issues. Accordingly, Applicants are pursuing a similar amendment by way of RCE. Applicants respectfully request that the accompanying amendments be entered, and that the pending claims be allowed for the reasons to be explained herein.

Claims 1, 2, 4-26, and 31-34 presently stand rejected over newly cited U.S. Patent 6,394,907 (Rowe). Claims 27-30 were previously withdrawn from

¹ This paper corrects some minor clerical issues in the Preliminary Amendment In Connection With Request For Continued Examination Pursuant To 37 C.F.R. § 1.114 filed October 6, 2004. Specifically, as indicated in the Notice of Non-compliant Amendment dated October 29, 2004, some of the status identifiers needed to be revised. Also, it was noticed that the text of withdrawn claims 27-30 was inadvertently omitted in the listing of claims. Accordingly, the text of the withdrawn claims has now been included in this paper.

consideration, and Claim 3 was previously canceled. By this paper, it is requested that Claims 1-2, 4-12, 19-26 and 31-34 be canceled without acquiescence in the grounds of rejection, and new Claims 35-40 added. The accompanying Remarks focus on independent Claim 13 (from which remaining Claims 14-18 depend). New Claims 35-40, as further explained, are system claims generally corresponding to pending method Claims 13-18. For the reasons described in the Remarks below, it is respectfully submitted that Claims 13-18 and new Claims 35-40 are allowable over the newly cited Rowe '907 patent.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks begin on page 9 of this paper.